

CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The City of Niagara Falls advanced the following 2015-2019 Strategic Plan goals during its 2018 Annual Action Plan:

(1) Higher % of homeownership and renovation

The Housing Collaborative model was enacted during the 2018 Annual Action Plan. This model created better efficiency and standard housing renovation practices and products among all organizations that perform housing renovations using CDBG and HOME funding.

(2) City park/public space improvement

The City of Niagara Falls has continued to improve its city parks and public spaces during the 2018 Annual Action Plan. The City of Niagara Falls conducted a Participatory Budgeting (PB) process for public space improvements in three neighborhoods in 2018 that resulted in an exterior home improvement program, planned strategic demolitions, and funds available for a community center.

(3) Increase % of homeless persons in permanent housing

The City of Niagara Falls has been working closely with the Continuum of Care (CoC) to implement a Coordinated Entry system and other practices and procedures to increase the amount of homeless or at-risk of homelessness clients provided with and successfully maintaining permanent housing.

(4) Increased youth services

The City of Niagara Falls has expanded youth mental health services in the city with CDBG funds in support of ESG projects.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and

explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete

Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

The City of Niagara Falls has narrowed its scope of projects to undertake in the 2018 Action Plan, which allows activities to interact and reinforce one another better. For example, Mental Health Youth Counseling fits well with the needs of the ESG projects; Adaptive reuse/conversion of 30 units for affordable housing will generate more project-based vouchers for the City of Niagara Falls Leased Housing Program; and Participatory Budgeting has led to planned improvements in the City's housing rehabilitation program.

Greater care needs to be taken to ensure that funded projects are in a phase where spenddown will happen quicker. While construction seasons and available funding do not often align, the City of Niagara Falls needs to better ensure that funds will be spent to realize goals and outcomes in a timely manner.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	public - federal	2,348,583	2,784,212
HOME	public - federal	484,840	347,133
ESG	public - federal	196,629	140,839

Table 3 - Resources Made Available

Narrative

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
City-Wide Target Area	42		
Low-Mod Target Area	22		
Middle Pine PB 360 Placemaking Neighborhood		5	\$120,000 for resident voted project for demolitions
North End PB 360 Placemaking Neighborhood		5	\$120,000 for resident voted project for a community center
South End PB 360 Placemaking Neighborhood		5	\$120,000 for resident voted project for exterior homeowner renovations

Table 4 – Identify the geographic distribution and location of investments

Narrative

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

CDBG and HOME was matched with \$9,287,338 of state funds, and \$4,552,625 private funds. ESG was matched with \$6,140 City funds, \$55,550 of state funds and \$138,360 of private funds.

ESG matching requirements were met through subrecipient fundraising efforts, and in the case of YWCA of the Niagara Frontier, through the NYS Supportive Housing program. Matching documentation is provided to the City for every subrecipient draw request.

Please note that Niagara Falls, NY is considered a severely fiscally distressed city, and received a 100% reduction in HOME match requirements.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	0
2. Match contributed during current Federal fiscal year	0
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	0
4. Match liability for current Federal fiscal year	0
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	0

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at begin-ning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
59,982	136,555	65,316	0	131,221

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	815	666
Number of Non-Homeless households to be provided affordable housing units	212	180
Number of Special-Needs households to be provided affordable housing units	0	0
Total	1,027	846

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	64	64
Number of households supported through The Production of New Units	0	0
Number of households supported through Rehab of Existing Units	40	21
Number of households supported through Acquisition of Existing Units	168	159
Total	272	244

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The City of Niagara Falls did not meet its stated goals for the 2018 Annual Action Plan. A lack of contractors available to perform work and a restructuring of the rehab program contributed to those changes.

Discuss how these outcomes will impact future annual action plans.

Rehab and Closing Cost Assistance funds will be available for future annual action plans and will contribute to accomplishments in those annual action plans upon completion.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Table 13 – Number of Households Served

Narrative Information

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City of Niagara Falls does not fund a street outreach program. The City of Niagara Falls has worked with the CoC to find alternative sources to fund a program. The City of Niagara Falls recognizes the importance of a street outreach program in reducing and ending homelessness and will try to fund such a program in future consolidated 5-year strategic plans.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City of Niagara Falls funds 3 emergency shelters and 1 transitional housing shelter. The transitional housing shelter has families that have stayed long term due to the needs of the families. The City of Niagara Falls Public Housing Authority will soon adopt a homeless preference for its Housing Choice Voucher and Project Based Voucher programs, which may be able to reduce the time spent in transitional housing shelters.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

The City of Niagara Falls funds a homelessness prevention program. Goals have been met for this program with the funding available.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

The City of Niagara Falls has worked closely with the CoC and the City's subrecipients to determine areas for improvement in reducing shelter stays and transitioning to permanent housing and independent living. The City's Public Housing Authority will soon adopt a homeless preference for its Housing Choice

Voucher and Project Based Voucher programs, which may allow homeless persons that do not require intensive case work to achieve affordable, safe housing without being an ESG client -- or helping those that require more financial assistance when they leave the ESG program.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

The City of Niagara Falls Section 8 Public Housing Authority has awarded more Project Based Vouchers, which will increase the availability of affordable, safe public housing options. CDBG, HOME, and HOME CHDO funds may be used to rehab these units.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

N/A

Actions taken to provide assistance to troubled PHAs

N/A

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The Department of Community Development continues to advocate for a restructuring of the in rem housing auction process so that more homeowners are able to be served.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

The City of Niagara Falls funded a homeless youth counseling program, which expanded the scope of clients served based on need.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

Lead inspection and abatement are a required part of each housing rehabilitation performed. Inspections are performed on all units aided through ESG Homelessness Prevention and Rapid Re-Housing.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The City of Niagara Falls has worked with Catholic Charities on the New York State Empire State Poverty Reduction Initiative (ESPRI). The focus of ESPRI is to aid individuals facing poverty in job preparation, training, and connecting clients with business owners.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

The City of Niagara Falls regularly attends webinars that aid in understanding of institutional structure needs.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

The City of Niagara Falls is a member of the South End Housing Initiative, a group of local residents and organizations working towards offering more homeownership education and opportunities to residents within the South End. Members of local financial institutions, social service agencies are represented in this group. The group is in the middle of creating a marketing plan to inform local residents of these homeownership programs. The group is taking efforts to follow best anti-displacement practices.

Identify actions taken to overcome the effects of any impediments identified in the

jurisdictions analysis of impediments to fair housing choice. 91.520(a)

N/A

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The City of Niagara Falls performs a risk assessment analysis of all subrecipients at the beginning of each program year. The City of Niagara Falls conducts desk and field monitorings as directed by the risk assessment analysis. Monitored subrecipients are provided a report of findings and given corrective action and timelines to complete corrective actions. The City of Niagara Falls offers technical assistance as needed.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

A public notice for review of the City's CAPER is published in the City's newspaper of record. This notice begins a 15-day public comment period and announces a public hearing for the CAPER. The CAPER is available online and in person at the Community Development offices.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

There were no significant changes in the jurisdiction's program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

The City of Niagara Falls does not assist tenant-based rental assistance projects through HOME.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The City of Niagara Falls performs contractor outreach via newspaper ads and an annual contractor breakfast.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

\$65,316.41 of HOME program income was expended on 8 projects. All projects were for single family homes. 7 of the homeowners were White and 1 homeowner was Black/African American. 2 homeowners were Extremely Low Income (below 30% Median Family Income), 4 homeowners were Very Low Income (below 50% Median Family Income), and 2 homeowners were Low Income (below 80% Median Family Income).

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The City of Niagara Falls has partnered with the Niagara County Department of Health to provide funding to eligible residents for lead-paint abatement.

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	NIAGARA FALLS
Organizational DUNS Number	079935268
EIN/TIN Number	166002548
Identify the Field Office	BUFFALO
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Niagara Falls/Niagara County CoC

ESG Contact Name

Prefix	Mr
First Name	Seth
Middle Name	0
Last Name	Piccirillo
Suffix	0
Title	Director

ESG Contact Address

Street Address 1	1022 Main Street, P.O. Box 69
Street Address 2	0
City	Niagara Falls
State	NY
ZIP Code	-
Phone Number	7162868801
Extension	0
Fax Number	0
Email Address	seth.piccirillo@niagarafallsny.gov

ESG Secondary Contact

Prefix
First Name
Last Name
Suffix
Title
Phone Number
Extension
Email Address

2. Reporting Period—All Recipients Complete

Program Year Start Date	07/01/2018
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3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: COMMUNITY MISSIONS OF NIAGARA FRONTIER, INC.

City: Niagara Falls

State: NY

Zip Code: 14303, 1516

DUNS Number: 030222285

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 95870.75

Subrecipient or Contractor Name: Family & Children's Service of Niagara, Inc.

City: Niagara Falls

State: NY

Zip Code: 14305, 2522

DUNS Number: 162553325

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15880.5

Subrecipient or Contractor Name: YWCA Carolyn's House

City: Niagara Falls

State: NY

Zip Code: 14301, 1636

DUNS Number: 796087534

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 70130.75

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households				
Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	37,595
Total Number of bed-nights provided	11,457
Capacity Utilization	30.47%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	10,000	30,000	28,843
Subtotal Homelessness Prevention	10,000	30,000	28,843

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	5,000	6,550
Subtotal Rapid Re-Housing	0	5,000	6,550

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Essential Services	0	0	0
Operations	2,636	0	53,310
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	2,636	0	53,310

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2016	2017	2018
Street Outreach	0	0	0
HMIS	0	0	0
Administration	0	4,500	0

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2016	2017	2018
	12,636	39,500	88,703

Table 29 - Total ESG Funds Expended

11f. Match Source

	2016	2017	2018
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	0
State Government	0	0	55,500
Local Government	0	0	7,500

Private Funds	12,636	39,500	25,703
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	12,636	39,500	88,703

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2016	2017	2018
	25,272	79,000	177,406

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment